

# United States Patent and

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 WWW.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/826,583

04/06/2001

Marc Unger

20174003010

20350 TOWNSEND AND TOWNSEND AND CREW TWO EMBARCADERO CENTER **EIGHTH FLOOR SAN FRANCISCO, CA 94111-3834** 

**CONFIRMATION NO. 6679 FORMALITIES LETTER** 

Date Mailed: 06/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

BABRAHA1 00000033 201430

09826583

FILED UNDER 37 CFR 1.53(b)

65.00 CH

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

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Customer Service Center Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE I hereby certify that this correst indence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents Attn: Box Missing Parts Washington, D.C. 20231 TOWNSEND and TOWNSEND and CREW LLF

Attorney Docket No.: 020174-003010US Client Reference No.: CIT No.: P3492

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Marc Unger, et al.

Application No.: 09/826,583

Filed: April 6, 2001

For: MICROFABRICATED

ELASTOMERIC VALVE AND PUMP

**SYSTEMS** 

Attn: Box Missing Parts

**Assistant Commissioner for Patents** 

Washington, D.C. 20231

Sir:

Examiner: Unassigned

Art Unit: 1772

TRANSMITTAL LETTER -

RESPONSE TO NOTICE OF MISSING

**PARTS** 

Pursuant to the Notice to File Missing Parts of Nonprovisional Application, dated June 4, 2001, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration
- 2) Petition to Extend Time 2Months
- 3) Copy of Notice of Missing Parts
- 4) Updated ADS

Please charge Deposit Account No. 20-1430 for the following fees:

Small Entity: Filing Fee (§ 1.16(a)) (Small Entity) (a)

\$0.00

**PATENT** 

Marc Unger, et al. Application No.: 09/826,583 Page 2



Excess Claims Fees (§ 1.16(b), (c)): (b)

	43 - 43 = 0	x \$9.00 =	\$0.00
	11 - 11 = 0	x \$40.00 =	\$0.00
(c)	Missing Parts S	\$65.00	
(d)	Extension of Ti	\$195.00	
TO	TAL FEES TO B	\$260.00	

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,

Kent J. Tobin Reg. No. 39,496

#### Customer No. 20350

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8<sup>th</sup> Floor San Francisco, California 94111-3834 Tel: 650-326-2400

Fax: 415 576-0300

KJT:ad

Approved for use through 10/31/2002. OMB 0

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651-0031	•

TDANOMITT	OIPE	Application	on Number	09/826,583						
TRANSMITT	AL Y	Filing Dat	e	April 6, 2001						
FORM	SEP 2 1 2001	First Named Inventor		Unger, Marc A.						
(to be used for all correspondence a	fter initial filing) 🥻	Group Art Unit		1772						
(to be used for all correspondence a	A MIDENARY.	Examiner	Name	Unassigned						
Total Number of Pages in This Subm	ssion		ocket Number	020174003010						
	ENCL	OSURES (che	eck all that apply)							
Fee Transmittal Form		ment Papers Application)		After Allowance Communication to Group						
Fee Attached	☐ Drawin	g(s)		Appeal Communication to Board of Appeals and Interferences						
Amendment / Response		ng-related Pa	•	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)						
After Final	Petition and Ac	Routing Slip companying I	(PTO/SB/69) Petition	Proprietary Information						
Affidavits/declaration(s)		to Convert to onal Applicati		Status Letter						
Extension of Time Request		of Attorney, R e of Correspo	levocation ndence Address	Other Enclosure(s) (please identify below):						
Express Abandonment Request		al Disclaimer st for Refund		Updated ADS, Executed Declaration, Return Postcard						
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Certified Copy of Priority Document(s)	Rema	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.								
Response to Missing Parts/ Incomplete Application		J								
Response to Missing Parts under 37 CFR 1.52 or 1.53										
SI	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT									
Firm Townsend ar	d Townsend and									
and Individual name Kent J. Tobin		Reg No. 39,496								
Signature Signature										
Date 9/18/4/										
CERTIFICATE OF MAILING										
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:										
Typed or printed name	Li wee Du	rham								
Signature	tuk			Date 9/18/61						

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PA 3171063 v1

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FFF TDANCAUTTAL		Complete if Known	<u> </u>
FEE TRANSMITTATE	Application Number	09/826,583	
for FY 2001	Filing Date	April 6, 2001	
( SEP 2 1 2001	First Named Inventor	Unger, Marc A.	
Patent fees are subject to annual revision.	Examiner Name	Unassigned	
\ <b>6</b>			

TOTAL AMOUNT OF PAYMENT (\$) 260							Attorney Docket No. 020174-003010US							
METHOD OF PAYMENT								FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:									3. ADDITIONAL FEES					
		_	IIIdioate			ver payments to:	_	Large	Entity	Small	Entity			
	Deposit		00.4	400				Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
	Account Number		20-14	430				105	130	205	65	Surcharge - late filing fee or oath	65	
		L					J	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
	Deposit Account		Townse	end and	Townsend and	Crew LLP		139	130	139	130	Non-English specification		
	Name	L					╛	147	2,520	147	2,520	For filing a request for reexamination		
	_	37 CF	R 1.16 a	and 1.17	7			112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
	Applica See 3	CFR	1.27		status.			113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
2.	☐ Pay	nent	Enclos	ed:				115	110	215	55	Extension for reply within first month		
	☐ Check	[	Credi	it card	Money Order	☐ Other		116	390	216	195	Extension for reply within second month	195	
<del>                                     </del>				:EE ^ 4	LCULATION			117	890	217	445	Extension for reply within third month		
1.	BASIC	FILIN	NG FEE		LCULATION			118	1,390	218	695	Extension for reply within fourth month		
Lar	ge Entit	y Sm	nali Er	ntity				128	1,890	228	945	Extension for reply within fifth month		
Fee		Fee			Fee Descriptio		_	119	310	219	155	Notice of Appeal		
Coc	• • •	Co	•	•		Fee Paid	<u>'</u>	120	310	220	155	Filing a brief in support of an appeal		
101	710	201			Utility filing fee		_	121	270	221	135	Request for oral hearing		
106 107	320 490	200 207			Design filing fee Plant filing fee			138	1,510	138	1,510	Petition to institute a public use proceeding		
108	710	208	8 3	55 F	Reissue filing fe	e		140	110	240	55	Petition to revive – unavoidable		
114	150	214	4 7	5 F	Provisional filing	fee		141	1,240	241	620	Petition to revive - unintentional		
							_	142	1,240	242	620	Utility issue fee (or reissue)		
İ			SUB	TOTAL	. (1)	(\$)		143	440	243	220	Design issue fee		
2.	EXTRA CL	AIM F	FEES			· · · · · · · · · · · · · · · · · · ·		144	600	244	300	Plant issue fee		
<del>-</del>				E	Extra Fe	e from Fee		122	130	122	130	Petitions to the Commissioner		
Total	Claims [		-20**	= [	Claims be	low Paid =		123	50	123	50	Petitions related to provisional applications		
Indep Claim	endent s		-3**	= [	×			126	180	126	180	Submission of Information Disclosure Stmt		
Multip Deper			,	<b>L</b>	× [	=		581	40	581	40	Recording each patent assignment per property (times number of properties)		
Lar		•	Small Fee	Entity Fee				146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))		
Coc 103	le (\$)	C	Code 203	( <b>\$</b> )	Fee Descript Claims in exc			149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))		
102			202	40		claims in excess of 3			746			•		
104	27	) 2	204	135	*	endent claim, if not pa	id	179	710	279	355	Request for Continued Examination (RCE)		
109	80		209	40		dependent claims ove		169	900	169	900	Request for expedited examination of a design application		
110	18	2	210	9		aims in excess of 20	and	Other fe	e (specif	y)				
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								*Reduc	ed by Ba	sic Filin	g Fee Pa	aid SUBTOTAL (3) (\$)260		
**or number previously paid, if greater; For Reissues, see above														

SUBMITTED BY Complete (if applicable)											
Name (Print/Type)	Kent J. Tobin	Registration No. (Attorney/Agent)	39,496	Telephone	650-326-2400						
Signature	9)	5_		Date	9/18/01						

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.